

Image  
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 <b>FEE TRANSMITTAL</b>		<i>Complete if known</i>	
		Application Number: 09/852,709	
		Filing Date: May 11, 2001	
		First Named Inventor: Heiks, et al.	
		Group Art Unit: 2874	
		Examiner Name: Michael J. Stahl	
Total Amt. of Payment: (1)+(2)+(3)= <b>\$180</b>		Attorney Docket Number: Shipley 03-10	

<b>METHOD OF PAYMENT (check one)</b>		<b>FEES CALCULATION (continued)</b>																									
1. The Commissioner is hereby authorized to: <input type="checkbox"/> Charge indicated fees <input checked="" type="checkbox"/> Charge additional fees <input checked="" type="checkbox"/> Credit overpayments to the account of DANN, DORFMAN, HERRELL & SKILLMAN Deposit Account Number <u>04-1406</u>		<b>ADDITIONAL FEES</b> <b>Fee Description</b> <b>Fee Paid</b> Surcharge-late filing fee or oath _____ Surcharge - late provisional filing fee or cover sheet _____ Extension for response within first month _____ Extension for response within second month _____ Extension for response within third month _____ Extension for response within fourth month _____ Notice of Appeal _____ Filing a brief in support of an appeal _____ Request for oral hearing _____ Petition to revive unavoidably abandoned application _____ Petition to revive unintentionally abandoned application _____ Issue fee _____ Petitions to the Commissioner _____ Petitions related to provisional applications _____ Submission of Information Disclosure Stmt. _____ Recording each patent assignment per property _____ Other fee (specify) <u>Advance Order (10 copies)</u> _____ Other fee (specify) _____ <b>SUBTOTAL (3)</b> <u>\$180</u>																									
<b>FEE CALCULATION</b> 1. <b>FILING FEE</b> <b>Fee Description</b> <b>Fee</b> Utility filing fee _____ Design filing fee _____ Plant filing fee _____ Reissue filing fee _____ Provisional filing fee _____ <b>SUBTOTAL (1)</b> <u>\$0</u>																											
2. Claims <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th style="text-align: right;">Paid</th> <th style="text-align: right;">Extr</th> <th style="text-align: right;">Fee</th> </tr> </thead> <tbody> <tr> <td>Total Claims</td> <td style="text-align: right;">50</td> <td style="text-align: right;">-57</td> <td style="text-align: right;">= 0</td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: right;"><math>\times 18 = 0</math></td> </tr> <tr> <td>Independent Claims</td> <td style="text-align: right;">8</td> <td style="text-align: right;">-8</td> <td style="text-align: right;">= 0</td> </tr> <tr> <td></td> <td></td> <td></td> <td style="text-align: right;"><math>\times 84 = 0</math></td> </tr> <tr> <td>Multiple Dependent (First presentation)</td> <td></td> <td></td> <td></td> </tr> </tbody> </table> <b>SUBTOTAL (2)</b> <u>\$0</u>			Paid	Extr	Fee	Total Claims	50	-57	= 0				$\times 18 = 0$	Independent Claims	8	-8	= 0				$\times 84 = 0$	Multiple Dependent (First presentation)					
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Submitted By:

Typed or

Printed Name Niles Haun

Reg. Number 48,488

Signature Niles Haun

Date October 30, 2003

Deposit Account User ID

04-1406



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of **Heiks, et al.**

Application No. 09/852,709

Attorney Docket No. Shipley 03-10

Filed: May 11, 2001

For: OPTICAL SWITCH ASSEMBLY AND  
METHOD FOR MAKING

Examiner: Michael J. Stahl

Group Art Unit: 2874

**CERTIFICATE OF MAILING UNDER 37 C.F.R § 1.8(a)**

I hereby certify that this Correspondence is being deposited on the date identified below with the United States Postal Service as first-class mail in an envelope properly addressed to Commissioner for Patents, Alexandria, VA 22313-1450

10-30-2003

Date of Certificate

Cristin Donahue

Cristin Donahue

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97**

In compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, Applicants are submitting herewith a Form PTO-1449 and a copy of the references listed thereon. This Information Disclosure Statement is being filed more than three months after the filing date of this application, and after receipt of the first Official Action on the merits, but before receipt of a Final Official Action or an Notice of Allowance. In addition, the Examiner's attention is drawn to the following co-pending application. By disclosing the below listed application Applicants do not waive the secrecy of the application, a copy of which is enclosed. Accordingly, the fee required under 37 C.F.R. 1.97(c) is enclosed. In the event the enclosed fee is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit account no. 04-1406.

**OPTICAL WAVEGUIDE SWITCH (02-08)**

Serial Number: 10/356,663

Filing Date: January 31, 2003

Inventors: Dan A. Steinberg; William T. Stacy; John J. Fisher; Mindaugas F. Dautartas and

David W. Sherrer

Applicants respectfully request full and proper consideration of the listed information during examination of the application, and that the listed information be printed on any patent that issues therefrom.

Respectfully submitted,

DANN, DORFMAN, HERRELL & SKILLMAN  
A Professional Corporation  
Attorneys for Applicant(s)

By   
Niels Haun  
PTO Registration No. 48,488

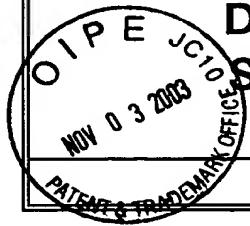
Telephone: (215) 563-4100

Facsimile: (215) 563-4044

Enclosures - Form PTO-1449

Copies of references listed on PTO - 1449

**INFORMATION  
DISCLOSURE  
STATEMENT**



SHEET 1 OF 1

*Complete if known*

Application Number: 09/852,709

Filing Date: May 11, 2001

First Named Inventor: Heiks, et al.

Group Art Unit: 2874

Examiner Name: Michael J. Stahl

Attorney Docket Number: Shipley 03-10

**UNITED STATES PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	PATENT NUMBER	ISSUE DATE MM-DD-YYYY	FIRST NAMED INVENTOR
		US 2001/0041026	11/15/2001	Steinberg et al.
		US2001/0048785	12/06/2001	Steinberg
		US 2002/0181854	12/05/2002	Steinberg et al.
		US 2003/0108272	06/12/2003	Sherrer et al.

**FOREIGN PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	DOCUMENT NUMBER	COUNTRY OR REGION	DATE OF PUBLICATION MM-DD-YYYY	FIRST NAMED INVENTOR OR APPLICANT

**OTHER PRIOR ART - NON-PATENT DOCUMENTS**

EXAMINER'S INITIALS	CITE NO.	Include name of the author (in Capital Letters), title of the article (when appropriate), title of the item(book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published

EXAMINER'S SIGNATURE		DATE CONSIDERED	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw a line through citation if citation not in conformance and reference not considered. Include a copy of this form with next communication to applicant.